

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

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GROUP 1700

Atty Docket No.: O/97334 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GANI ET AL.

Serial No.: 09/485,195

Group Art Unit: 1636

Filed: May 4, 2000

Examiner: Choi, L.

For: VINYL SULPHONE MODIFIED POLYMER

Assistant Commissioner of Patents Washington, D.C. 20231

## REQUEST TO CHANGE INVENTORSHIP PURSUANT TO 37 CFR §1.48

Dear Sir:

Applicant, the assignee of record, respectfully requests a correction of inventorship of the instant application pursuant to 37 CFR §1.48. This request to correct the inventorship includes, pursuant to 37 CFR §1.48, (1) a request to correct the inventorship that sets forth the desired inventorship change; (2) a statement from each person being added as an inventor and from each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his part; (3) a declaration by the actual inventors as required by 37 CFR §1.63; (4) the processing fee

set forth in 37 CFR §1.17(i); and, (5) the written consent of the assignee, Akzo Nobel, N.V.

## I. Request to Correct Inventorship

Applicant, the assignee of record, Akzo Nobel, N.V., respectfully requests correction of the inventorship for U.S. application serial number 09/485,613 pursuant to 37 CFR §1.48. The application, as filed, listed an inventive entity of David Gani and . Friedrich Erich Karl Kroll. Applicant, after a reasonable investigation, now respectfully requests to have Michael John Plater, John Richard Morphy, and David Rees added as 'inventors. Therefore, the correct inventive entity is David Gani, Friedrich Erich Karl Kroll, Michael John Plater, John Richard Morphy, and David Rees.

## II. Statements by Inventors to be Added

The error in naming the correct inventorship occurred without any deceptive intent on the part of any of the people named as an inventor and without deceptive intent on any of the people not named as an inventor. Moreover, Applicant is filing the present request pursuant to 37 CFR §1.48(a) because the error in inventorship was not realized until after the filing of the original declarations.

Attached herewith are statements from each of the inventors being added stating that the error occurred without any deceptive intent on their part. Three statements are provided, one by Michael John Plater, one by John Richard Morphy, and one by David Rees. (See Exhibits A-1, A-2, and A-3).

### III. Declaration



A newly executed declaration is submitted herewith that correctly sets forth the actual inventive entity. (See Exhibit B).

### IV. Fee

Applicant hereby authorizes the charging of the required fee pursuant to 37 CFR §1.17(i) to deposit account 02-2334.

### V. Consent by Assignee

The required consent of the assignee, Akzo Nobel N.V. is provided herewith. (See Exhibit C).

#### VI. Conclusion

Applicants respectfully request that the inventorship of the instant application be corrected to add the inventors named Michael John Plater, John Richard Morphy, and David Rees. The correct inventive entity for the instant application is David Gani, Friedrich Erich Karl Kroll, Michael John Plater, John Richard Morphy, and David Rees. Please charge deposit account number 02-2334 for any required fees.

Date:

Sincerely,

William P. Ramey, II

amt.

Akzo Nobel Pharma Patent Department

Intervet, Inc.

P.O. Box 318

405 State Street

Millsboro, DE 19966

(302) 933-4034 telephone

(302) 934-4305 facsimile

**A-1** 

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p. 2

CERTIFICATE OF FACSIMILE TRANSMISSION

Atty Docket No.: T/97334 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GANI ET AL.

Serial No.: 09/485,195

Group Art Unit: 1713

Filed: May 4, 2000

Examiner: Henderson Jr., C.

For: VINYL SULPHONE MODIFIED POLYMER

Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

STATEMENT BY DAVID REES IN SUPPORT OF REQUEST TO CORRECT INVENTORSHIP

I, David Rees, hereby declare, under penalty of perjury, that the error in inventorship occurred without deceptive intent on my part. I further declare that I am an original and finition inventor of the above identified application.

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CERTIFICATE OF FACSIMILE TRANSMISSION

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Date: 5/13/0)

Atty Docket No.: T/97334 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GANI ET AL.

Serial No.: 09/485,195

Group Art Unit: 1713

Filed: May 4, 2000

Examiner: Henderson Ir., C.

For: VINYL SULPHONE MODIFIED POLYMER

Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

# STATEMENT BY RICHARD MORPHY IN SUPPORT OF REQUEST TO CORRECT INVENTORSHIP

I, Richard Morphy, hereby declare, under penalty of perjury, that the error in inventorship occurred without deceptive intent on my part. I further declare that I am an original and first joint inventor of the above identified application.

15/8/02

Date

Richard Morphy

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Intervet Inc.

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CURTIFICATE OF FACSIMILE TRANSMISSION

Atty Docket No.: T/97334 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GANI ET AL.

Serial No.: 09/485,195

Group Art Unit: 1713

Filed: May 4, 2000

Examiner: Henderson Jr., C.

For: VINYL SULPHONE MODIFIED POLYMER

Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

## STATEMENT BY MICHAEL JOHN PLATER IN SUPPORT OF REQUEST TO CORRECT INVENTORSHIP

I, Michael John Plater, hereby declare, under penalty of perjury, that the error in inventorship occurred without deceptive intent on my part. I further declare that I am an original and first joint inventor of the above identified application.

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**B** 

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## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original first and joint inventor (if plural names are listed below) of the subject matter for which a patent is sought on the invention entitled "VINYL SULPHONE MODIFIED POLYMER", the specification of which

#### [CHECK ONE]

[ ] is attached hereto.

- [X ] was filed on May 4, 2000 as Application Serial No. 09/485,195 was amended on [if applicable].
- | ] was filed under the Patent Serial No. \_\_\_\_\_, the Cooperation Treaty \_\_\_\_, the United States of America being designated.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined Title 37, Code of Federal Regulations Section 1.56(a).

I hereby claim foreign priority benefits under Title 35. United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign Application(s) Priority Claimed.

9716456.0 (Number)	GB (Country)	5/08/1997 (Day/Month/Year Filed)	<u>X</u> Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as

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Intervet Inc. ABERDEEN UNI CHEMISTRY DEPT + 934 4305

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the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

(U.S. Serial No.) (Filing Date) (Status - patented, pending,

abandoned)

TU.S. Serial No.) (Filing Date) (Status - patented, pending, abandoned)

And I hereby appoint as principal attorneys, William M. Blackstone, Registration No. 29,772 and Mark W. Milstead, Registration No. 45,825 and William P. Ramey III, Registration No. 44,295 as parent agents.

Please address all communications to:

William P. Ramey AKZO NOBEL, N.V. 405 State Street Millsboro, DE 19966

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor <u>David Gani</u> Inventor's signature	DATE
Citizenship British	Date
Residence and P. O. Addrage 60 Middleson, Dec. )	11

Address 68 Middlepark Road, Selly Oak, Birmingham, B29 4BS, United Kingdom

<u>Sweeden</u>

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Full name of second joint inventor	Friedrich E. Kroll
Inventor's signature	Date
Citizenship German	
Residence and P. O. Address 4 Krirstinedals	s Vej, DK2500 Valby, Denmark
Full name of third joint inventor Inventor's signature M. Plat	Michael J. Plater
Citizenship British	
Residence and P. O. Address 48 St. Clair United Kingdom	St., Aberdeen AB 24 5 AJ,
Full name of fourth joint inventor	John R. Morphy
Inventor's signature	Date
Citizenship <u>British</u>	
Residence and P. O. Address <u>9 Randolph Terr</u> <u>United Kingdom</u>	ace, Stirlingshire, SK79AB,
Full name of fifth joint inventor	•
Inventor's signature	Date
Citizenship British	
Residence and P. O. Address Cedergartan 3	2A, 42674 Vastra Froounda,

934 4305

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#### [CHECK ONE]

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[ ] is attached hereto.

- [X ] was filed on \_ May 4, 2000 as Application Serial No. 09/485,195 and was amended on [if applicable].
- filed under the Patent Cooperation Treaty was Serial No. \_, the United States of America being designated.
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Prior Foreign Application(s) Priority 9716456.0 5/08/1997 <u>X</u>Yes \_\_ No (Country) (Day/Month/Year Filed) (Number) Yes (Number) (Country) (Day/Month/Year Filed) Yes (Number) (Country) (Day/Month/Year Filed)

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Full name of sole or first inventor <u>David Gani</u> Inventor's signature	DATE	
Citizenship British	_	Date
Pacidonae and T. O. Tala.	_	

Residence and P. O. Address 68 Middlepark Road, Selly Oak, Birmingham, B29 4BS, United Kingdom

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Jul 30 02 09:07a

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Full name of second joint inventor Friedrich E. Krol
Inventor's signatureDate
Citizenship German
Residence and P. O. Address 4 Krirstinedals Vej, DK2500 Valby, Denmar)
Full name of third joint inventorMichael J. Plater
Inventor's signatureDate
Citizenship British
Residence and P. O. Address 48 St. Clair St., Aberdeen AB 24 5 AJ, United Kingdom
Full name of fourth joint inventor John R. Morphy
Inventor's signature
Citizenship <u>British</u>
Residence and P. O. Address <u>9 Randolph Terrace, Stirlingshire, SK79AB, United Kingdom</u>
Full name of fifth joint inventor David C. Rees
Inventor's signatureDate
Citizenship <u>British</u>
Residence and P. O. Address <u>Cedergartan 32A, 42674 Vastra Froounda,</u> Sweeden

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Prior Foreign Application(s)

Claimed

9716456.0

(Number)

(Country)

Priority

X Yes

No

(Day/Month/Year Filed)

(Number)	(Country)	(Day/Month/lear filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	res	No
(Number)	(Country)	(bay/!lonell/roar rrroar)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		

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Full name of sole Inventor's signat		nventor <u>David Gar</u>	i <u>i</u> DATE	
Citizenship	British		Date	9

Residence and P. O. Address 68 Middlepark Road, Selly Oak, Birmingham, B29 4BS, United Kingdom

Jul 30 02 08:56a

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p.5

Full	name	of	second	joint	inventor	Friedrich E. Krol
Invent	or's s	ignat	ure			Date
Citize	enship	Germ	an			
Reside	ence an	d P.	O. Addre	ss <u>4 Kr</u>	irstinedals	Vei, DK2500 Valby, Denmar
Full	name	of	third	joint	inventor	Michael J. Plate
Invent	or's s	ignat	ure		· · · · · · · · · · · · · · · · · · ·	Date
Citize	nship	Brit	<u>ish</u>			
Reside <u>United</u>	nce an	d P.	O. Addr	ess <u>48</u>	St. Clair	St., Aberdeen AB 24 5 AJ
Full	name	of	fourth	joint	inventor	John R. Morphy
Invent	or's s	ignat	ure			Date
Citize	nship	Briti	ish			•
Reside <u>United</u>	nce an Kingd	d P. <u>om</u>	O. Addre	ss <u>9 Ra</u>	ndolph Terra	ace, Stirlingshire, SK79AB,
Full	name	of	fifth	joint	inventor	David C. Rees
Invent	or's s	ignat	ure	Javos	1000	Date Aug 1st 2002
Citize	nship	Briti	sh			
Reside Sweede		d P.	O. Addr	ess <u>Ced</u>	lergartan 32	A, 42674 Vastra Froounda,

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p.2

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lif applicabl	ej.		<u>-</u>		and		was	amer	ıded	on

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(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as

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And I hereby appoint as principal attorneys, William M. Blackstone, Registration No. 29,772 and Mark W. Milstead, Registration No. 45,825 and William P. Ramey III, Registration No. 44,295 as patent agents.

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Full name of sole or first inventor <u>David Gani</u>
Inventor's signature <u>David Gani</u>
Citizenship <u>British</u>
Date

Residence and P. O. Address <u>68 Middlepark Road, Selly Oak, Birmingham, B29 4BS, United Kingdom</u>

Jul 30 02 09:21a

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p.4

Full name of second joint inventorFriedrich E. Kroll
Inventor's signatureDate
Citizenship German
Residence and P. O. Address 4 Krirstinedals Vej, DK2500 Valby, Denmark
Full name of third joint inventor <u>Michael J. Plater</u>
Inventor's signature Date
Citizenship British
Residence and P. U. Address <u>48 St. Clair St., Abordeen AB 24 5 AJ, United Kingdom</u>
Full name of fourth joint inventor John R. Morphy
Inventor's signature Date
Citizenship British
Residence and P. O. Address <u>9 Randolph Torrace, Stirlingshire, SK79AB, United Kingdom</u>
Full name of fifth joint inventor David C. Roos
Inventor's signatureDate
Citizenship British
Residence and P. O. Address <u>Cedergartan 32A</u> , 42674 <u>Vastra Froounda</u> ,

p.2

thee pages.

Best regards
Freidrich Goll

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As a below named inventor, I hereby declare that:

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original first and joint inventor (if plural names are listed below) of the subject matter for which a patent is sought on the invention entitled "VINYL SULPHONE MODIFIED POLYMER", the specification of which

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Claimed

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(Number) (Country) (Day/Month/Year Filed)

(Number) (Country) (Day/Month/Year Filed)

(Number) (Country) (Day/Month/Year Filed)

Yes No

(Number) (Country) (Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as

Full	name	of	second	joint	inventor	Friedric	h E.	Kroll
Invent	tor's s	ignat	ure	Friedrich	1 boll	Date_	30.7.02	
Citize	enship	Germa	an					
Reside	ence an	d P.	O. Addre	ss <u>4 K</u>	irstinedals	s_Vej, DK2500 V	alby,	Denmark
Full	name	of	third	joint	inventor	Michael	<u>J.</u>	Plater
Invent	or's s	ignat	ıre			Date		
Citize	enship	Briti	.sh			· · · · · · · · · · · · · · · · · · ·		
Reside <u>United</u>	nce an Kingd	d P. om	O. Addr	ess <u>48</u>	St. Clair	St., Aberdeen	AB 24	5 AJ,
Full	name	of	fourth	joint	inventor	John	R	Morphy
Invent	or's s	ignatı	ire			Date		
Citize	nship	<u>Briti</u>	sh			:		
Reside United	nce and Kingdo	d P.	C. Addre	ss <u>9 Rar</u>	ndolph Terr	ace, Stirlings	hire, S	SK79AB,
Full	name	of	fifth	joint	inventor	Davio	i C.	Rees
Invent	or's si	ignatı	re			Date		
Citize	nship	<u>Briti</u>	sh					
	nce an					2A, 42674 Vast	ra Fro	ounda,

the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37. Code of Federal Regulations, Section 1.56(4)

defined in Title 37, Code of Federal Regulations, Section 1.56(a) which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

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Please address all communications to:

William P. Ramey AKZO NOBEL, N.V. 405 State Street Millsboro, DE 19966

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or Inventor's signature	first inventor <u>David</u>	Gani DATE
Citizenship <u>Bri</u>	ish	Date

Residence and P. O. Address <u>68 Middlepark Road</u>, <u>Selly Oak</u>, <u>Birmingham</u>, <u>B29 4BS</u>, <u>United Kingdom</u>

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CERTIFICATE OF PACSIMILE TRANSMISSION

I hardweardly that this more is below factimite transmitted to the Patent and Trademack Office on the date shows below.

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Atty Docket No.: T/97334 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GANIET AL.

Serial No.: 09/485,195

Group Art Unit: 1713

Filed: May 4, 2000

Examiner: Henderson Jr., C.

For VINYL SULPHONE MODIFIED POLYMER

Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

#### WRITTEN CONSENT OF ASSIGNEE, AKZO NOBEL N.V.

I. Hans J. H. Pegt, as a duly authorized representative of the assignee, Akzo Nobel N.V., have the authority to consent to the request to correct inventorship in U.S. application serial number 09/485,195 filed herewith and hereby do consent to the change in inventorship. The correct inventive entity is David Gani, Friedrich Erich Karl Kroll, Michael John Plater, John Richard Morphy, and David Rees.

(2- 05- 2003

Date

A.J.H. Pegt

Director Legal Affairs Pharma